#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

OCT 0 3 2003

Robert S. Harguth et al.

REFORMING WET-TANTALUM CAPACITORS IN IMPLANTABLE DEFIBRILLATORS AND OTHER MEDICAL DEVICES

279.232US3 August 4, 2003

Scott M. Getzow Examiner:

Customer No.: 21186

Serial No.: 10/633,942

Due Date: N/A

Group Art Unit: Unknown

Confirmation No.:

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

We are transmitting herewith the following attached items (as indicated with an "X"):

A return postcard.

- An Supplemental Information Disclosure Statement (1 pg.), Form 1449 (2 pgs.), and copies of 48 cited documents.
- A Communication Concerning Co-Pending Application (1 pg.).  $\underline{\mathbf{X}}$

If not provided for in a separate paper filed herewith, Please consider this a PETITION FOR EXTENSION OF TIME for sufficient number of months to enter these papers and please charge any additional fees or credit overpayment to Deposit Account No. 19-0743.

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.

Customer Number 21186

duardo E. Dra Reg. No. 40,594

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Commissioner for Patents, P.O. Box

1450. Alexandria, VA 22313-1450, on this 7day of September, 2003.

Name

Signature

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A. Customer Number 21186 (GENERAL)

**PATENT** S/N 10/633942

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Robert S. Harguth et al.

Examiner: Scott M. Getzow

Serial No.:

10/633,942

Group Art Unit: Unknown

Filed:

OCT 0 3 2003

RADE

Docket: 279.232US3

Ditle:

August 4, 2003

REFORMING WET-TANTALUM CAPACITORS IN IMPLANTABLE

DEFIBRILLATORS AND OTHER MEDICAL DEVICES

## COMMUNICATION CONCERNING CO-PENDING APPLICATION

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Applicants would like to bring to the Examiner's attention the following related copending application in the above-identified patent application:

Serial No.

Filing Date

Attorney Docket

Title

09/990,180

November 21, 2001

279.404US1

**REFORMING WET-TANTULUM** CAPACITORS IN IMPLANTABLE **DEFIBRILLATORS AND OTHER** 

MEDICAL DEVICES

Respectfully submitted,

ROBERT S. HARGUTH ET AL.

By Applicants' Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.

P.O. Box 2938

Minneapolis, MN 55402

(612) 349-9893

Date 26 Sept. 7053

Reg. No. 40

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby cortifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Commissioner of Patents, P.O.B ox 1450, Alexandria, VA 22313-

day of september, 2003.

Signature

633942

**PATENT** 

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Robert S. Harguth et al.

Examiner:

Scott M. Getzow

Serial No.:

10/633,942

Group Art Unit:

Unknown

Filed:

August 04, 2003

Docket:

279.232US3

Title:

REFORMING WET-TANTALUM CAPACITORS IN IMPLANTABLE

DEFIBRILLATORS AND OTHER MEDICAL DEVICES

### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with 37 C.F.R. §§ 1.97 et. seq., the enclosed materials are brought to the attention of the Examiner for consideration in connection with the above-identified patent application. Applicants respectfully request that this Supplemental Information Disclosure Statement be entered and the documents listed on the attached Form 1449 be considered by the Examiner and made of record. Pursuant to the provisions of MPEP 609, Applicants request that a copy of the 1449 form, initialed as being considered by the Examiner, be returned to the Applicants with the next official communication.

Pursuant to 37 C.F.R. §1.97(b), it is believed that no fee or statement is required with the Supplemental Information Disclosure Statement. However, if an Office Action on the merits has been mailed, the Commissioner is hereby authorized to charge the required fees to Deposit Account No. 19-0743 in order to have this Supplemental Information Disclosure Statement considered.

The Examiner is invited to contact the Applicants' Representative at the below-listed telephone number if there are any questions regarding this communication.

Respectfully submitted,

ROBERT S. HARGUTH ET AL.

By their Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.

P.O. Box 2938

Minneapolis, MN 55402

(612) 349-9**5**93

Date 26 Sept. lor3

Eduardo E. Drake

Reg. No. 40,594

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this day of September, 2003.

PTO/SB/08A(10-01)

Approved for use through 10/31/2002, OMB 651-0031

US Patent & Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Substitute for form 1449A/PTO Complete if Known INFORMATION DISCLOSURE **Application Number** 10/633942 STATEMENT BY APPLICANT (Use as many sheets as necessary) August 4, 2003 Filing Date Harguth, Robert **First Named Inventor** Unknown OCT 0 3 2003 **Group Art Unit** Getzow, Scott **Examiner Name** BADEMARY Attorney Docket No: 279.232US3 Sheet 1 of 2

US PATENT DOCUMENTS							
Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	Filing Date If Appropriate	
	US- 2002/0071240A1	06/13/2002	Rorvick, Anthony W., et al.	361	503	01/31/2002	
	US- 2003/0011967A1	01/16/2003	Nielson, Christian S., et al.	361	517	04/30/2002	
	US- 2003/0056350A1	03/27/2003	Yan, Jenn-Feng, et al.	29	25.03	04/30/2002	
	US-4,562,511	12/31/1985	Nishino, Atsushi, et al.	361	324	06/30/1983	
-	US-4,928,688	05/29/1990	Mower, M. M.	128	419 PG	01/23/1989	
	US-5,131,388	07/21/1992	Pless, B. D., et al.	128	419 D	03/14/1991	
	US-5,146,391	09/08/1992	MacFarlane, D. R., et al.	361	525	11/03/1989	
	US-5,153,820	10/06/1992	MacFarlane, D. R., et al.	361	525	11/26/1991	
	US-5,522,851	06/04/1996	Fayram, T. A.	607	5	12/06/1994	
	US-5,584,890	12/17/1996	MacFarlane, D. R., et al.	29	25.03	01/24/1995	
	US-5,628,801	05/13/1997	MacFarlane, D. R., et al.	29	25.03	05/02/1994	
***	US-5,660,737	08/26/1997	Elias, W. H., et al.	216	6	05/17/1995	
	US-5,801,917	09/01/1998	Elias, W. H.	361	535	06/03/1996	
	US-5,808,857	09/15/1998	Stevens, J. I.	361	503	08/05/1997	
	US-5,814,082	09/29/1998	Fayram, T. A., et al.	607	5	04/23/1997	
	US-5,908,151	06/01/1999	Elias, W. H.	228	110.1	09/29/1997	
10.00	US-6,032,075	02/29/2000	Pignato, P. A., et al.	607	5	06/24/1998	
	US-6,096,062	08/01/2000	Silvian, S	607	5	06/08/1998	
	US-6,110,233	08/29/2000	O'Phelan, Michael J., et al.	29	25.03	05/11/1998	
	US-6,141,205	10/31/2000	Nutzman, T. M., et al.	361	509	06/24/1998	
	US-6,157,531	12/05/2000	Breyen, M. D., et al.	361	519	06/24/1998	
· · · · · · · · · · · · · · · · · · ·	US-6,191,931	02/20/2001	Paspa, P., et al.	361	302	08/28/1998	
	US-6,197,184	03/06/2001	Hemphill, Ralph J., et al.	205	333	10/29/1998	
	US-6,212,063	04/03/2001	Johnson, William L., et al.	361	517	06/24/1998	
	US-6,224,738	05/01/2001	Sudduth, Katherine C., et al.	205	221	11/09/1999	
	US-6,229,752	05/08/2001	Ayukawa, Kazushige , et al.	365	230.03	02/17/1997	
	US-6,249,423	06/19/2001	O'Phelan, M.J., et al.	361	502	04/21/1998	
	US-6,275,729	08/14/2001	O'Phelan, Michael J., et al.	607	5	10/02/1998	
	US-6,283,985	09/01/2001	Harguth, et al.	607	1		
	US-6,321,114	11/20/2001	Nutzman, Thomas M., et al.	607	5	07/22/1999	

**EXAMINER** 

**DATE CONSIDERED** 

PTO/SB/08A/10-01)
Approved for use through 1031/2002. OMB 651-0031
US Patient & Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Substitute for form 1449A/PTO Complete if Known INFORMATION DISCLOSURE **Application Number** 10/633942 STATEMENT BY APPLICANT August 4, 2003 Filing Date (Use as many sheets as necessary) OIPE **First Named Inventor** Harguth, Robert **Group Art Unit** Unknown Getzow, Scott **Examiner Name** OCT 0 3 2003 Attorney Docket No: 279.232US3

1 4	Ä					
Sheet 2 of 2	RADEMPER		Attorney Docket No: 279	9.232L	JS3	
	BADEMARK					
	JS-6,385,490	05/07/2002	O'Phelan, Michael J., et al.	607	5	06/30/2000
1	JS-6,388,866	05/14/2002	Rorvick, Anthony, et al.	361	503	06/30/2000
	JS-6,402,793	06/11/2002	Miltich, Thomas, et al.	29	25.03	06/30/2000
	JS-6,409,776	06/25/2002	Yan, Jenn-Feng, et al.	29	25.03	06/30/2000
1	JS-6,421,226	07/16/2002	O'Phelan, Michael J., et al.	361	509	06/29/2000
	US-6,426,864	06/30/2002	O'Phelan, Michael J., et al.	361	509	06/29/2000
	US-6,445,948	09/03/2002	Somdahl, Sonja K., et al.	607	2	03/29/2000
	US-6,477,037	11/05/2002	Nielsen, Christian S., et al.	361	520	06/30/2000
	US-6,493,212	12/10/2002	Clarke, Michael E., et al.	361	521	06/30/2000
	US-6,509,588	01/21/2003	O'Phelan, Michael J., et al.	257	209	01/01/2003
	US-6,522,525	02/18/2003	O'Phelan, Michael J., et al.	361	509	11/03/2000
	US-6,535,374	03/18/2003	O'Phelan, Michael J., et al.	361	512	04/26/2001
	US-6,556,863	04/29/2003	O'Phelan, Michael J., et al.	607	5	10/02/1998
	US-6,560,089	05/06/2003	Miltich, Thomas P., et al.	361	509	12/18/2001
	US-6,571,126	05/27/2003	O'Phelan, Michael J., et al.	607	5	11/03/2000
	US-6,597,564	07/22/2003	O'Phelan, Michael J., et al.	361	508	06/19/2001

FOREIGN PATENT DOCUMENTS								
Examin er Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subcla ss	T²		
	WO-01/43149	06/14/2001	Harguth, R S., et al.	H01G		_		

Mower, Morton M.

05/20/2003

US-RE 38,119

OTHER DOCUMENTS NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No <sup>1</sup>	include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposlum, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²		

**EXAMINER** 

**DATE CONSIDERED** 

607

9

01/23/1989